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9P-3762

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/964,079

Filing Date September 26, 2001

First Named Inventor KIEVAL et al.

Art Unit 3762

Examiner Name Unassigned

Attorney Docket Number 021433-000110US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
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AUG 27 2003		
TECHNOLOGY CENTER R3700		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Townsend and Townsend and Crew LLP James M. Heslin	Reg. No. 29,541
Signature		
Date	August 27, 2003	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Edward Masinas		
Signature		Date	August 27, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#4  
3762  
3-26-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kieval et al.

Examiner: Unknown

Serial No.: 09/964,079

Group Art Unit: 3762

Filed: September 26, 2001

Docket No.: 1151.1104101

For: STIMULUS REGIMENS FOR CARDIOVASCULAR REFLEX CONTROL

TECHNOLOGY CENTER R3700

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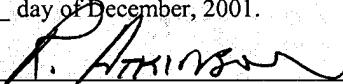
**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 27 day of December, 2001.

By \_\_\_\_\_

  
Robert E. Atkinson

We are transmitting herewith the attached:

Amendment

No additional fee required  
 The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X39=	\$	X78=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM			+130=	\$	+260=	\$	
TOTAL				\$		\$	

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**PATENT**  
Attorney Docket No.: 021433-000110US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On 08-22-05

TOWNSEND and TOWNSEND and CREW LLP

*Edward Masinas*

OIP  
Edward Masinas

AUG 25 2003

SC15

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

ROBERT S. KIEVAL et al.

Application No.: 09/964,079

Filed: September 26, 2001

For: STIMULUS REGIMENS FOR  
CARDIOVASCULAR REFLEX  
CONTROL

Examiner: Unassigned

Art Unit: 3762

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98**

**RECEIVED**

AUG 27 2003

TECHNOLOGY CENTER R3700

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

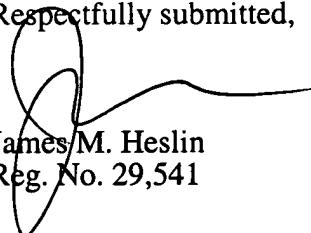
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
James M. Heslin  
Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 650-326-2400  
Fax: 650-326-2422  
JMH:ewm  
60023185 v1

[ ] A check in the amount of \$\_\_\_\_\_ is enclosed.

[ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[X] Other: Information Disclosure Statement, FORM PTO-1449, and cited references.

[X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
Robert E. Atkinson, Reg. No. 36,433

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECHNOLOGY CENTER R3700

Applicant: Kieval et al.

Examiner: Unknown

Serial No.: 09/964,079

Group Art Unit: 3762

Filed: September 26, 2001

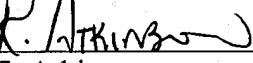
Docket No.: 1151.1104101

For: STIMULUS REGIMENS FOR CARDIOVASCULAR REFLEX CONTROL

The Assistant Commissioner for Patents  
Washington, D.C. 20231

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By \_\_\_\_\_

  
Robert E. Atkinson

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

ROBERT S. KIEVAL ET AL.

By their attorney,

Dated: 12/27/01

  
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